Safeguarding Enquiry Audit Tool

Audit date	Client ID	Duty Officer	Auditor
Evidence	Yes	No	Comments
Date MARF received			
Referral screened			
within 24 hrs			
Referral			
acknowledged			
Referrer spoken with?			
Adult at risk spoken			
with?			
Adult at risk visited?			
Adult at risk wishes,			
Feelings, actions and			
outcomes identified			
and documented?			
(what matters			
conversation)			
Assumption of mental			
capacity evident			
Advocate identified			
(Person can advocate			
for themselves)			
Advocate appointed			Who is the advocate?
(if person lacking			Family
capacity)			Friend

	Externally appointed
Adult at risk or	The state of the s
advocate (if lacking	
MC) consent to share	
info with other	
agencies	
Appropriate agencies	
informed of referral	
Evidence of Strategy	
discussion	
Sufficient evidence	
gathered as part of s	
126 enquiry	
S126 enquiries	
undertaken in 7 days	
D. 1 . 7.1	
Delays in 7 day	
enquiries properly	
documented	
Involvement of key individuals evident	
individuais evident	
Evidence to support	
final determination	
Safeguarding actions	
identified are	
appropriate and	
proportionate	
Safeguarding actions	
are properly	
documented and communicated	
communicated	
Adult at risk	
continuously involved	
and updated?	
Evidence of adult at	
risks outcomes	
achieved	